

8-14-01

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. **US 010179**  
First Named Inventor or Application Identifier  
**Epstein**  
Express Mail Label No. **EL 921839585 US**  
Date of Deposit **13 August 2001**

U.S. PTO  
09/928677  
08/13/01

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (2)  
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification *Total Pages 11*  
(preferred arrangement set forth below)
  - Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3. ☒ Drawings (35 USC 113) *Total Sheets 1*
4. ☒ Oath or Declaration *Total Pages 1*
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application  
(37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed)  
**[Note Box 5 below]**
    - i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference  
(useable if Box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

6. ☐ Microfiche Computer Program (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a. ☐ Computer Readable Copy
  - b. ☐ Paper Copy (identical to computer copy)
  - c. ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

8. ☒ Assignment Papers (cover sheet & document(s))(2)
9. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☒ Information Disclosure ☐ Copies of Statement (IDS)/PTO 1449 IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
14. ☐ Small Entity ☐ Statement filed in Prior Statement(s) Application, Status still proper and desired.
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☒ Other
  - ☒ Check in the amount of \$786
  - ☐


17. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No:

18. **CORRESPONDENCE ADDRESS:** Corporate Patent Counsel  
U.S. Philips Corporation; 580 White Plains Road; Tarrytown, N.Y. 10591

## 19. Certificate of Express Mail:

I hereby certify that this paper and the items identified above are being deposited with the U.S. Postal Service "Express Mail Post Office to Addresses" service under 37 C.F.R. Section 1.10 on the 'Date of Deposit', indicated above, and is addressed to: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

  
Robert M. McDermott, Registration Number 41,508

# FEE TRANSMITTAL

Note: Effective October 1, 1997.  
Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) **786**

## METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number	
Deposit Account Name	

☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Charge the Issue Fee Set in 37 CFR 1.18 at the mailing of the Notice of Allowance

2. ☒ Payment Enclosed:

☒ Check ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. FILING FEE

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
101	201	Utility filing fee	710
106	206	Design filing fee	
107	207	Plant filing fee	
108	208	Reissue filing fee	
114	214	Provisional filing fee	

**SUBTOTAL (1) (\$) 710**

### 2. CLAIMS

Claims	Extra	Fee from below	Fee Paid
Total 22	(-20 =) 2	18	36
Indep. 2	(-3 =)		0
Multiple Dep.			

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
103	203	Claims in excess of 20	
102	202	Independent claims in excess of 3	
104	204	Multiple dependent claim	
109	209	Reissue independent claims over original patent	
110	210	Reissue claims in excess of 20 and over original patent	

**SUBTOTAL (2) (\$) 36**

Application Number	
Filing Date	
First Named Inventor	Epstein
Group Art Unit	
Examiner Name	
Attorney Docket Number	US 010179

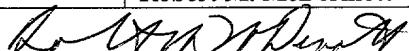
## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
105	205	Surcharge - late filing fee or oath	
127	227	Surcharge - late provisional filing fee or cover sheet	
139	239	Non-English specification	
147	247	For filing a request for reexamination	
112	212	Requesting publication of SIR prior to Examiner action	
113	213	Requesting publication of SIR after Examiner action	
115	215	Extension for reply within first month	
116	216	Extension for reply within second month	
117	217	Extension for reply within third month	
118	218	Extension for reply within fourth month	
128	228	Extension for reply within fifth month	
119	219	Notice of Appeal	
120	220	Filing a brief in support of an appeal	
121	221	Request for oral hearing	
138	238	Petition to institute a public use proceeding	
140	240	Petition to revive - unavoidable	
141	241	Petition to revive - unintentional	
142	242	Utility issue fee (or reissue)	
143	243	Design issue fee	
144	244	Plant issue fee	
122	222	Petitions to the Commissioner	
123	223	Petitions related to provisional applications	
126	226	Submission of Information Disclosure Stmt	
581	581	Recording each patent assignment per property (times number of properties)	40
146	246	Filing a submission after final rejection (37 CFR 1.129(a))	
149	249	For each additional invention to be examined (37 CFR 1.129(b))	
Other fee (specify)			
Other fee (specify)			

\* Reduced by Basic Filing Fee Paid

**SUBTOTAL (3) (\$) 40**

<b>SUBMITTED BY</b>		Complete (if applicable)	
Typed or Printed Name	Robert M. McDermott	Reg. Number	41,508
Signature		Date	13 Aug 01
		Deposit Account User ID	